



APPLICATION FOR RECORDS RETENTION SCHEDULE

OFFICE OF THE SECRETARY OF STATE
DEPARTMENT OF ARCHIVES AND HISTORY
RECORDS MANAGEMENT DIVISION

INSTRUCTIONS: See Publication No. 76-RM-1 for instructions on completing this form. Forward signed original to Department of Archives and History, Records Management Division, 330 Capitol Avenue, Atlanta, Georgia, 30334, Attention: Scheduling Section.

| FOR AGENCY USE | | FOR RECORDS MANAGEMENT USE | |
|--|---|--|------------------------------------|
| Application Date February 14, 1977 | 1. Agency Address Georgia Postsecondary Education Commission 2970 Peachtree Road, N.W. Suite 620 Atlanta, Georgia 30305 | Application Number 77-61 | Date Received FEB 21 1977 |
| Application Number | | Date Completed MAR - 4 1977 | |
| 2. Person to Contact Mrs. Pauline D. Palmer | | Working Title Director of Programs | Telephone Number (404) 233-5449 |
| 3. Action Requested a. <input checked="" type="checkbox"/> Establish Retention Schedule; record will continue to accumulate. b. <input type="checkbox"/> Dispose of present accumulation; no further accumulation anticipated. c. <input type="checkbox"/> Amend Application No. _____ Check One: <input type="checkbox"/> Change; <input type="checkbox"/> Supersede; <input type="checkbox"/> Void | | | |
| 4. Dates of Series Earliest 1965 | Latest Present | 5. Records Series Title (followed by title used in office, if different) (Title VI-A) Instructional Equipment Grant Program Case Files | |
| 6. Division and Office Function What is the function of the Division and the Office in which this record series is created? The Commission is the State agency, established pursuant to Section 1202 of the Education Amendments of 1972, responsible for developing a process of comprehensive statewide planning for the expansion and improvement of postsecondary education. Its missions are: (a) to stimulate the development of a coordinated planning process in Georgia, and (b) to take all action necessary to enable Georgia to obtain grants and technical assistance from the Federal Government. | | | |
| 7. Record Series Description This file contains the following documents (include form numbers and titles, if any): Attach samples of the file. <i>to HEW approved</i> Documents relating to: recommending the applications of postsecondary schools for Federal Grants under Title VI-A of the Higher Education Act of 1965. Included are: Instructional Equipment Grants Agreement, (OE Form 1055), Application for Grants (OE Form 3600), descriptions of proposed projects, and related correspondence. File is arranged: by category; thereunder alphabetically by name of postsecondary educational facility; thereunder chronologically by fiscal year. | | | |
| 8. Monthly Reference Rate How often are records referred to which are: One to six months old _____; Seven to twelve months old _____; Thirteen to twenty-four months old _____; twenty-five months and older _____? | | | |
| 9. Annual Rate of Accumulation of Records Letter-size drawers <u>4</u> ; Legal-size drawers _____; Shelves _____; Other (specify) _____ | | | |

| YES | NO | 10. Questionnaire (Place an "X" in the proper column) |
|-----|----|--|
| X | | a. Is this the official copy of the series? If not, where is it? |
| | X | b. Does the series contain confidential information requiring security handling? If yes, cite law or regulation. |
| X | | c. Is this a vital record? |
| | X | d. Does this series have historical or long term research value? |
| | X | e. When one or two documents in the file make it necessary to keep the entire file for a long period, could these documents be scheduled separately? |
| | X | f. Is the information contained in this series ever published? If yes, attach copy. |
| X | | g. Is the information contained in this series ever analyzed and/or recorded in a summarized report? If yes, attach copy. Summary is filed in Subject Files |
| | X | h. Is there a duplication of this series in your office, or in another office or agency? If yes, where? |
| | X | i. Is this series (or a major portion of it) regularly microfilmed? |
| | X | j. Does the record series result in a computer printout? |

11. Retention Requirements

The following requires the series to be kept:

- | | | | |
|--------------------------|--------------|-----------------------------------|----------------|
| a. State Law | _____ years. | d. Audit period | _____ years. |
| b. Statute of limitation | _____ years. | e. Administrative need | 3 _____ years. |
| c. Federal law | _____ years. | f. Federal retention instructions | 3 _____ years. |

Attach copy or excerpt of laws or regulations. Explain administrative need.

See 45 CFR 171.8

12. Approved Disposition Instructions

This agency recommends that the file series be cut off at the end of each:

☐ Calendar Year; ☐ Fiscal Year; ☒ Other See below then,

- ☐ Hold in the current files area _____ month(s) _____ year(s); then
- ☐ Transfer to local holding area, hold _____ year(s); then
- ☐ Transfer to State Records Center; hold _____ year(s); then
- ☐ Destroy.
- ☐ Transfer to State Archives for permanent retention.
- ☒ Other (Specify)

Cut off file at the end of each fiscal year, then hold in current files area until receipt of yearly project completion report; then transfer to inactive file, hold in inactive file 3 years, then transfer to State Records Center; hold 1 year, then destroy.

These instructions apply to all prior and future accumulations of the series.

| | | | |
|--|-----------------------------|--|---------|
| Agency Head/Designee (Signature) | Date | Records Management Officer (Signature) | Date |
| <i>[Signature]</i> | 2/17/77 | | |
| Executive Director | | | |
| 77-61 | | State Records Committee (Signature) | Date |
| Recommendations in paragraph 12 are approved. (If disapproved, attach letter of explanation.) | State Auditor/Designee | <i>[Signature]</i> | 3-3-77 |
| | Secretary of State/Designee | <i>Carroll Hart</i> | 2-28-77 |
| | Attorney General/Designee | <i>[Signature]</i> | 3-3-77 |